



□ IT Audit and Compliance

Fiduciary responsibility, regulatory compliance and risk mitigation; all elements of conducting business today that have risen to sobering prominence. The challenges facing management in establishing, evaluating and monitoring the effectiveness of business operations, financial reporting and internal controls are to be taken seriously.

As IT is the engine that facilitates business processes, close scrutiny has fallen on it for its role. Your business may or may not find itself within the governance of compliance regulations, like **Sarbanes-Oxley**. But a growing trend sees investors, clients, financial institutions and insurance providers adopting its framework to analyze risk and appraise operating practices.

There is much confusion surrounding what to do, when to do it, and how. We will help you *reconcile theory and practice*. We can benchmark your current environment (internal controls, business processes and procedures). We can validate sound operating processes and perform gap and risk analysis with recommendations for improvement opportunities. We can identify, create or improve, and document exception processes.



What We Do:

- Internal audit and controls review / risk assessments
- Process / controls improvement (documentation and procedures)
- Audit planning
- Problem identification and resolution
- IT security and risk management
- Testing and monitoring of controls
- Remediation planning, design, and implementation

Whether preparing for an internal or external audit, streamlining and improving business processes or positioning your business to capitalize on a market shift, we bring the tools, credentials, and experience to make it happen.